



Division of Corporations, Business and Professional Licensing - Investigations

MEMORANDUM

TO: State Board of Registration for

Architects, Engineers, and Land

Surveyors

THROUGH: Quinten Warren, Chief Investigator

FROM: John Savage, Investigator RE: AEL Investigations Board Report

07/01/2011-04/08/2011

DATE: April 22, 2011

This report was run from February 5, 2011 to present, review of past reports has been unavailable.

OPEN - 14

| Case # | Profession | Violation Type | Opened |
|-------------|---|-----------------------------------|------------|
| 10608001 | CORPORATE AUTHORIZATION | Violation of licensing regulation | 01/24/2008 |
| 10409001 | LAND SURVEYOR | Negligence | 01/12/2009 |
| 10709001 | CORPORATE AUTHORIZATION - UNLICENSED | Unlicensed practice or activity | 02/06/2009 |
| 10209002 | ENGINEER | Violating professional ethics | 03/27/2009 |
| 10309008 | ENGINEER - UNLICENSED | Unlicensed practice or activity | 07/09/2009 |
| 2011-000214 | CORPORATE AUTHORIZATION | Unlicensed practice or activity | 02/17/2011 |
| 2011-000310 | ARCHITECT | Practice beyond scope | 03/16/2011 |
| 2011-000321 | ARCHITECT | Practice beyond scope | 03/17/2011 |
| 2011-000322 | LAND SURVEYOR | Unlicensed practice or activity | 03/17/2011 |
| 2011-000320 | ENGINEER | Unlicensed practice or activity | 03/17/2011 |
| 2011-000354 | ENGINEER | Unlicensed practice or activity | 03/30/2011 |
| 2011-000358 | CORPORATE AUTHORIZATION | Unlicensed practice or activity | 03/30/2011 |
| 2011-000360 | ENGINEER | License application problem | 03/30/2011 |
| 2011-000369 | ENGINEER | Violation of licensing regulation | 03/31/2011 |

CLOSED - 11

| Case # | Profession | Violation Type | Opened | Closed | Closure |
|-------------|-------------------------------|-----------------------------------|------------|------------|--|
| 2010-001018 | ENGINEER | Unlicensed practice or activity | 11/02/2010 | 02/09/2011 | Advisement Letter |
| 2010-001017 | ARCHITECT | Unlicensed practice or activity | 11/01/2010 | 02/09/2011 | Advisement Letter |
| 10209004 | ENGINEER | Violation of licensing regulation | 02/14/2011 | 02/14/2011 | License Action/Consent Agreement |
| 2010-000768 | ENGINEER | Violation of licensing regulation | 02/14/2011 | 02/14/2011 | License Action/Consent Agreement |
| 2010-000787 | ENGINEER | Unlicensed practice or activity | 08/25/2010 | 02/14/2011 | License Action /Consent Agreement (2010-00768) |
| 2011-000212 | ENGINEER | Unlicensed practice or activity | 02/17/2011 | 02/18/2011 | Advisement Letter |
| 2011-000097 | ENGINEER | License application problem | 01/14/2011 | 02/22/2011 | Advisement Letter |
| 2010-001109 | HOME INSPECTOR | Violation of licensing regulation | 12/08/2010 | 03/03/2011 | No Action - No Violation |
| 2011-000132 | LAND SURVEYOR | License application problem | 02/09/2011 | 03/15/2011 | Advisement Letter |
| 10502002 | LAND SURVEYOR - UNLICENSED | Unlicensed practice or activity | 10/29/2002 | 03/24/2011 | Administrative Fine Paid |
| 2011-000270 | CORPORATE AUTHORIZATION | Unlicensed practice or activity | 03/08/2011 | 03/29/2011 | Advisement Letter |

ATTORNEY GENERAL REVIEW -3

| Case # 10309008 | Opened 07/09/2008 | AG Review Date 01/18/2011 | Information Allegation that a Civil Engineer sealed drawings during a period of time in which their professional license was lapsed. (Assignment - AAG Auth) |
|-----------------|--------------------------|----------------------------------|--|
| 10409001 | 01/12/2009 | 01/18/2011 | Allegation the Land Surveyor conducted a recorded survey which was in error and caused the loss of an adjacent land owner's property. (Assignment - AAG Hawkins) |
| 10709001 | 02/06/2009 | 01/18/2011 | Allegation that Corporation has been practicing engineering without proper licensure and the responsible charge has previously violated this statute. (Assignment - AAG Hawkins) |