




THE STATE  
*of* **ALASKA**  
GOVERNOR SEAN PARNELL

**Department of Commerce, Community,  
and Economic Development**

DIVISION OF CORPORATIONS, BUSINESS AND  
PROFESSIONAL LICENSING

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**MEMORANDUM**

DATE: October 23, 2013  
TO: Alaska State Board of Registration for Architects, Engineers, and Land Surveyors  
THRU: Quinten Warren, Chief Investigator   
FROM: John Savage, Investigator  
RE: Investigative Report for the November 14-15, 2013, meeting.

The following information was compiled as an investigative report to the Board for the period from July 19, 2013, through October 23, 2013. Including cases, complaints, and intake matters, since the last report, the Investigator opened 29 files and closed 27 AELS Board matters.

**OPEN Cases and Complaints: 24**

<u>Case #</u>	<u>Date Opened</u>	<u>Complaint</u>	<u>Profession</u>
2011-000214	02/17/2011	Unlicensed practice or activity	Corp. Authorization
2011-000369	03/31/2011	Violation of licensing regulation	Engineer
2011-000546	06/08/2011	Unlicensed practice or activity	Corp. Authorization
2011-000965	10/24/2011	Unlicensed practice or activity	Corp. Authorization
2011-000966	10/24/2011	Unlicensed practice or activity	Architect
2011-000968	10/24/2011	Unlicensed practice or activity	Engineer
2011-000990	10/28/2011	Unlicensed practice or activity	Engineer
2011-000982	12/01/2011	Unprofessional Conduct	Land Surveyor
2011-001134	12/06/2011	Violation of licensing regulation	Engineer
2011-001181	03/29/2012	Fraud or Misrepresentation	Land Surveyor
2012-000838	08/15/2012	Incompetence	Engineer
2012-001324	11/21/2012	Violation of Licensing Regs	Engineer
2012-001328	11/21/2012	Violation of Professional Ethics	Engineer
2012-001598	01/02/2013	Unlicensed practice or activity	Land Surveyor

**OPEN Cases and Complaints: (Cont.)**

2013-001710	08/15/2013	Violation of Licensing Regs	Architect
2013-001945	09/30/2013	Negligence	Engineer
2013-002012	10/15/2013	Unlicensed practice or activity	Engineer
2013-002016	10/15/2013	Violation of Licensing Regs	Corp. Authorization
2013-002020	10/15/2013	Falsified Application	Engineer
2013-002021	10/17/2013	Violation of Licensing Regs	Engineer
2013-002034	10/22/2013	Violation of Licensing Regs	Engineer
2013-002036	10/22/2013	Violation of Licensing Regs	Engineer
2013-002037	10/22/2013	Violation of Licensing Regs	Engineer
2013-002039	10/22/2013	License Application Problem	Engineer

**CLOSED Cases and Complaints: 27**

<u>Case #</u>	<u>End Date</u>	<u>Case Status</u>	<u>Closure</u>	<u>Board Profession</u>
2013-001066	7/22/2013	Closed-Investigation	Advisement Letter	ENGINEER
2013-001387	7/22/2013	Closed-Investigation	Advisement Letter	ENGINEER
2013-001410	7/29/2013	Closed-Investigation	No Action - No Violation	LAND SURVEYOR
2013-001413	7/29/2013	Closed-Complaint	No Action - No Violation	ENGINEER
2013-001425	7/29/2013	Closed-Complaint	No Action - No Violation	ENGINEER
2013-001456	7/31/2013	Closed-Complaint	No Action - No Violation	ENGINEER
2013-001462	7/31/2013	Closed-Complaint	No Action - No Violation	ENGINEER
2013-001467	7/31/2013	Closed-Complaint	No Action - No Violation	ENGINEER
2013-001330	9/05/2013	Closed-Investigation	Advisement Letter	CORP. AUTHORIZATION
2013-001845	9/12/2013	Closed-Complaint	No Action - No Violation	ENGINEER
2013-001831	9/16/2013	Closed-Investigation	No Action - No Jurisdiction	ENGINEER
2011-000984	10/03/2013	Closed-Investigation	Advisement Letter	CORP. AUTHORIZATION
2012-000864	10/03/2013	Closed-Complaint	Other (See Abstract)	ENGINEER
2013-001501	10/03/2013	Closed-Investigation	Advisement Letter	ENGINEER
2013-001506	10/03/2013	Closed-Investigation	Advisement Letter	ENGINEER
2013-000869	10/07/2013	Closed-Investigation	Advisement Letter	ENGINEER
2013-001497	10/07/2013	Closed-Investigation	Advisement Letter	ENGINEER
2013-001503	10/07/2013	Closed-Investigation	Advisement Letter	ENGINEER
2012-001304	10/09/2013	Closed-Investigation	Advisement Letter	ENGINEER
2012-001316	10/09/2013	Closed-Investigation	Advisement Letter	ARCHITECT
2013-001914	10/09/2013	Closed-Investigation	Advisement Letter	LANDSCAPE ARCHITECT
2013-001987	10/11/2013	Closed-Investigation	Advisement Letter	ENGINEER

**CLOSED Cases and Complaints (Cont.)**

<u>Case #</u>	<u>End Date</u>	<u>Case Status</u>	<u>Closure</u>	<u>Board Profession</u>
2013-001997	10/16/2013	Closed-Complaint	No Action - No Violation	ENGINEER
2013-001998	10/17/2013	Closed-Complaint	No Action - No Violation	ARCHITECT
2013-002003	10/17/2013	Closed-Complaint	No Action - No Violation	ENGINEER
2013-002004	10/17/2013	Closed-Complaint	No Action - No Violation	ENGINEER
2013-002028	10/22/2013	Closed-Complaint	No Action - No Violation	ENGINEER

**Draft Filings Pending Attorney General Action:**

- 104-09-001 AG Review (01-18-2011) – Allegation that Land Surveyor conducted a recorded survey which was in error and caused the loss of an adjacent land owner’s property.  
(assigned to AAG Silvey).
- 107-09-001 AG Review (01-18-2011) – Allegation that Corporation has been practicing engineering without proper licensure and the responsible charge has previously violated this statute.  
(assigned to AAG Silvey).

END OF REPORT