



STATE OF ALASKA
DEPARTMENT OF
COMMERCE
COMMUNITY AND
ECONOMIC DEVELOPMENT

Sean Parnell, Governor
Emil Notti, Commissioner
Lynne Smith, Director

Division of Corporations, Business and Professional Licensing

DATE: October 29, 2009

TO: Board of Registration for Architects, Engineers, and Land Surveyors

THROUGH: Brian K. Howes, Chief Investigator

FROM: John R. Savage, Investigator

SUBJECT: Investigative Report for the November 19 and 20, 2009, meeting. This report reflects the status of investigative files up to and including October 28, 2009.

If you have any questions regarding this report, please feel free to contact me directly at 269-8176.

OPEN CASES

Case Number	Date Opened	Complaint
0100-08-006	12/22/08	Violation of Licensing Regulation
0100-09-002	10/28/09	Violation of Licensing Regulation
0101-09-002	07/14/09	Violation of Licensing Regulation
0102-09-002	03/27/09	Violating Professional Ethics
0102-09-004	04/24/09	Violation of Licensing Regulation
0102-09-011	08/11/09	Falsified Application
0103-09-008	07/09/09	Unlicensed Practice/Activity (Unlic Indiv)
0104-09-001	01/12/09	Negligence
0106-08-001	01/24/08	Malpractice

550 West 7th Avenue, Suite 1500, Anchorage, AK 99501-3567
Telephone: (907) 269-8160 Fax: (907) 269-8195 Website: www.commerce.state.ak.us/occ

0107-07-024	07/11/07	Unlicensed Practice/Activity (Corp Reg)
0107-09-001	02/06/09	Unlicensed Practice/Activity (Corp Reg)
0107-09-004	06/05/09	Unlicensed Practice/Activity (Corp Reg)
0107-09-005	06/16/09	Unlicensed Practice/Activity (Corp Reg)
0152-09-005	04/22/09	License Application Problem
0152-09-009	09/02/09	License Application Problem
0152-09-010	10/23/09	License Application Problem
0152-09-011	10/23/09	License Application Problem

Closed Cases

Case Number	Date Closed	Disposition
0100-07-002	09/29/09	Contested Lic Denial License Action
0102-09-009	10/05/09	Violation of Lic Reg No Action/No Violation
0102-09-012	09/15/09	Violation of Lic Reg Advisement Letter
0102-09-014	09/03/09	Violation of Lic Reg Advisement Letter
0102-09-015	08/27/09	Violation of Lic Reg Advisement Letter
0103-09-005	10/08/09	Unlicensed Practice/Activity Compliance
0103-09-009	10/06/09	Unlicensed Practice/Activity No Action/No Violation

The first four numbers of the case number indicate the profession; the professional codes are as follows:

0100	Architect	0106	Corporate Authorization
0101	Unlicensed Architect	0107	Unlicensed Corporate Authorization
0102	Engineer	0150	Architect Applicant
0103	Unlicensed Engineer	0152	Engineering Applicant
0104	Land Surveyor	0154	Land Surveyor Applicant
0105	Unlicensed Land Surveyor		

0103-09-010	10/06/09	Unlicensed Practice/Activity No Action/No Violation
0107-08-009	09/18/09	Unlicensed Practice/Activity Compliance
0107-09-002	10/02/09	Unlicensed Practice/Activity Compliance
0107-09-003	09/18/09	Unlicensed Practice/Activity Compliance
0107-09-006	09/04/09	Unlicensed Practice/Activity Compliance
0107-09-007	08/24/09	Unlicensed Practice/Activity Advisement Letter
0152-09-008	08/27/09	Lic Application Problem No Action/No Violation

DRAFT FILINGS PENDING ATTORNEY GENERAL ACTION

0105-02-002 Allegation of land surveying by an unregistered individual.
Presently in Litigation. AG is Karen Hawkins. (Case Opened
10/29/02)

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