

DATE: April 25, 2001  
TO: Board of Registration for Architects, Engineers, and Land Surveyors  
THROUGH: Gary Veres, Chief Investigator  
FROM: John R. Clark, Investigator  
SUBJECT: Investigative Report for the May 17 - 18, 2001, meeting.

**OPEN CASES**

- 0101-98-3 Single allegation of an engineer engaging in architectural work without being licensed as an architect. (Opened 5/25/98)
- 0101-98-5 Allegation that an engineer submitted plan review documents that contained architectural work. (Opened 10/14/98)
- 0101-99-6 Allegation that an unlicensed person worked as an architect. (Opened 11/04/99)
- 0102-98-3 Allegation that an engineer sealed and signed plans that are incomplete and not in compliance with established codes. (Opened 5/25/98)
- 0102-99-7 Allegation that an engineer sealed and signed a report that was not accurate. (Opened 10/05/99)
- 0102-00-2 Allegation of a corporation failing to insure property supervision occurred. (Opened 02/04/00)
- 0102-00-6 Allegation that an engineer sealed and signed plans outside scope of licensure. (Opened 08/16/00)
- 0102-00-7 Allegation that an engineer was not timely in performance of work. (Opened 08/22/00)
- 0102-00-8 Allegation that engineer signed/sealed plans that contained false information. (Opened 10/10/00)
- 0102-00-12 Allegation that an engineer unduly delayed a project. (Opened 11/14/00)
- 0102-01-2 Allegation that an engineer violated professional ethics. (Opened 03/09/01)

- 0103-99-6 Allegation that an architect performed work as a mechanical engineer. (Opened 11/26/99)
- 0103-00-3 Allegation that a formerly licensed engineer sealed plans while his license was expired. (Opened 02/08/00)
- 0103-01-1 Allegation that an unlicensed person engaged in engineering. (Opened 01/11/01)
- 0103-01-2 Allegation that an unlicensed person engaged in engineering. (Opened 04/18/01)
- 0104-96-2 Allegation that a registered land surveyor did not accurately depict the lot sizes in a 1986 plat map. (Opened 04/29/96)
- 0104-96-4 Allegation that a registered land surveyor was incompetent. (Opened 10/30/96)
- 0104-97-2 Allegation that a registered land surveyor did not set monuments as described on the plat filed with the recorders office. (Opened 05/19/97)
- 0104-99-3 Allegation that a land surveyor did very poor work on a project. (Opened 11/05/99)
- 0104-00-1 Allegation that a land surveyor did work that went beyond the scope of the survey that was commissioned. (Opened 04\04\00)
- 0104-01-1 Allegation that a land surveyor did not complete a survey in a timely manner. (Opened 01/11/01)
- 0107-01-1 Allegation that an unlicensed corporation performed engineering in Alaska. (Opened 04/12/01)
- 0150-00-1 An architect reported action taken in other jurisdictions on a renewal. (Opened 01/06/00)
- 0152-99-1 Applicant was denied and requested a hearing. (Opened 04/09/99)
- 0152-01-1 Applicant was denied and requested a hearing. (Opened 03/29/01)

**DRAFT ACCUSATIONS PENDING ATTORNEY GENERAL REVIEW**

- 0103-95-10 Single allegation of a previously licensed engineer sealing and signing plans subsequent to the engineer's license lapsing on 12/31/93. (Referred 04/20/99)
- 0103-98-3 Single allegation of a previously licensed engineer practicing civil engineering subsequent to his license lapsing on 12/31/97. (Referred 04/20/99)

The first four numbers of the case number indicate the profession; the professional codes are as follows:

0100	Architect	0106	Corporate Authorization
0101	Unlicensed Architect	0107	Unlicensed Corporate Authorization
0102	Engineer	0150	Architect Applicant
0103	Unlicensed Engineer	0152	Engineering Applicant
0104	Land Surveyor	0154	Land Surveyor Applicant
0105	Unlicensed Land Surveyor		

- 0104-96-1 Allegation that a registered land surveyor's work was below the minimum professional standards for a land surveyor. (Referred 08/21/98)
- 0104-98-2 Allegation that a registered land surveyor did not provide services in a timely manner. (Referred 08/21/98)

**CASES CLOSED:**

- 0100-00-2 Allegation that an architect unduly delayed a project. Investigation revealed there was no evidence to support the allegation. Closure coordinated with AELS Board Member Patricia Peirsol. (Closed 04/25/01)
- 0102-00-3 Allegation that an engineer exceeded the scope of licensure. Investigation revealed the engineer sealed and signed plans outside the scope of licensure. An advisory letter was sent to the engineer. Closure coordinated with AELS Board Member Kathleen Gardner. (Closed 04/24/01)
- 0102-00-5 Allegation that an engineer sealed and signed plans outside scope of licensure. Investigation revealed the engineer did not work outside the scope of licensure. Closure coordinated with AELS Member Board Robert Miller. (Closed 4/25/01)
- 0102-00-9 Allegation that engineer signed/sealed plans that contained false information. Investigation revealed the engineer did not violate a provision of the statutes or regulations governing licensure. Closure coordinated with AELS Board member Lance Mearig. (Closed 04/04/01)
- 0102-00-10 Allegation that an engineer did not protect public health/safety in plan design. Investigation did not reveal evidence that would tend to substantiate the allegation. The engineer was advised of the requirement to seal all final plans. Closure coordinated with AELS Board member Lance Mearig. (Closed March 22, 2001)
- 0102-01-1 Allegation that an engineer performed work outside the scope of education, experience and licensure. Investigation revealed the engineer in question worked under the direct supervision of an engineer with the appropriate education, experience and licensure for the project in question. Closure coordinated with AELS Board Member Robert Miller. (Closed 03/08/01)
- 0107-00-2 Allegation that a corporation advertised to perform engineering services without being registered as an AELS corporation. The corporation agreed to withdraw the advertising and wrote that they had not intended to advertise to provide engineering services. Closure coordinated with AELS Board Member Daphne Brown. (Closed 01/31/01)

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