Department of Commerce, Community, and Economic Development Division of Corporations, Business and Professional Licensing

FOR DIVISION USE ONLY

#### **Real Estate Appraisers Program**

550 West 7<sup>th</sup> Avenue, Suite 1500, Anchorage, AK 99501

App	praisal Management Company Change Request	
	Website: ProfessionalLicense.Alaska.Gov/BoardOfRealEstateAppraisers	
	Email: BoardOfRealEstateAppraisers@Alaska.Gov	
	Phone: (907) 269-7140	

This request is for a registered appraisal management company to make changes to their current license's owner, owning entity and/or controlling person.

PART I Pa	aymer	nt of F	ees											
Required Fees:	c	hange o	f Owner,	, Entity o	r Cont	rolling	Persor	n Fee					\$	250.00
PART II Re	egistra	ation	Inform	nation										
Doing Business As (DBA):														
AMC License Number:									Con	tact Phone:				
Mailing Address:	P.O. Bo	ox or Stree	t				City				State	!		Zip
EMAIL AGREEMENT: By and Professional Licensir to keep the email addres	ng, I agree	to mainta	in an accu	rate email a	address	through	the MY	LICENSE	web p	age. I understar	nd that	failure to c	heck my e	mail account or
Email Address:									Sele	ct One:	=	nd my Corr nd my Corr	•	ce by Email ce by Mail
Corporation, LLC	C, LP or	LLP												
Are you a Corporat Reminder: If you are registered with the Co	e a corp	ooration	or LLC yo			•			Yes	s	No			
	<u>IF Y</u>	ES, prov	ide:											
Name of Corporati	ion or									Entity Num	ber:			
Is the company do	miciled	within t	he state	of Alaska	a?		Yes		] No	•				
	If N	O, then	a compa	ny's ager	nt wh	o is loc	ated w	ithin t	he sta	ate must be	isted	below:		
Name of Company Agent:	r's									Contact Ph	one:			
Service of Process				Mus	t be a p	hysical a	address a	and not a	USPS	P.O. Box or oth	er priva	te mailbox		

Address:

PAR	Type of Change	9						
Doing E As (DBA	Business A):			License Number:				
1. Are	<ol> <li>Are you changing the owning entity on an existing license?</li> <li>No</li> <li>Yes</li> <li>If YES, please complete the attached Appraisal Management Company Surety Bond form (#08-4821c) or attach a bond name change rider as an alternative to the surety bond form.</li> </ol>							
2. Are	you changing individual owner  No  Yes  If YES, please complete Po  Certification form (#08-482	art IV of this application			olling Perso	n/Ownership		
3. Are	Are you changing Controlling Person?  No Yes  If YES, please complete Part V of this application (located on page 3) and the attached Controlling Person/Ownership Certification form (#08-4821a) and the Authorization of Release of Records form (#08-4821b).							
PAR	T IV Ownership Inf	ormation						
membe you to disclose	he applicable box and provide ers, whichever is appropriate; a provide your United States s ed; it may be used to verify int ers of 10% or more must submi	nd provide U.S. Social Sec Social Security Number. er-state licensure.	curity Numbers for sole It is considered confic	proprietor or partne	rs. AS 08.01	.060 requires		
	Sole Proprietorship	☐ Partnership	☐ Corpora	tion [	 П цс			
	Full Name	<del>-</del>	ddress	Social Securit (Sole Proprie Partners	ty Number	% of Ownership		

PART V	Controlling Person			
	ne of the AMC's controlling person. The rm (#08-4821a).	controlling person must complete the	Ownership and/or Co	ntrolling Person
Full Name:				
Full Address:	P.O. Box or Street	City	State	Zip
License Numbe	ır:	State of Licens	ure:	

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# **Notary Signature Page**

## **PART VI**

## **Notarized Signature**

I hereby certify that I am the person herein named and subscribing to this application and that I have read the complete application, and I know the full content thereof. I declare that all of the information contained herein, and evidence or other documents submitted herewith are true and correct.

I understand that any falsification or misrepresentation of any item or response in this application, or any attachment hereto, or falsification or misrepresentation of documents to support this application, is sufficient grounds for denying, revoking, or otherwise disciplining a license or permit to practice in the state of Alaska.

I further understand that it is a Class A misdemeanor under Alaska Statute 11.56.210 to falsify an application and commit the crime of unsworn falsification.

A person who makes a false statement on this application may be subject to civil and criminal penalties, including prosecution for perjury (AS 11.56.200 & AS 11.56.230).

Notary Stamp	Applicant Printed Name:			
	Applicant Signature:			
	Notary Public for State of:		ribed and Sworn to me on this Day:	
	Notary Signature:		My Commission Expires:	



# of ALASKA

Department of Commerce, Community, and Economic Development Division of Corporations, Business and Professional Licensing

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# **Controlling Person/Ownership Certification**

Davida mal Information

This section must be completed for the controlling person and by each owner of the company who owns at least 10% or more of the AMC.

The controlling person and each owner must complete this section individually. This will require multiple copies of this section, one for each person, to be submitted as part of the application for registration of the AMC.

Please submit these documents with the remainder of the AMC application, and not separately. Submitting documents separately may cause delays to your application review.

PARIL Pe	150Hai i	ntorma	uon						
Full Legal Name:									
Provide all other names used (maiden, nicknames, aliases). If any documentation will be received in a prior name, you must									
provide a certified true copy of the documentation showing proof of legal name change(s).									
☐ Not Applicable									
Other Nan	Other Names Used:								
Mailing Address:	P.O. Box or	Street			City			State	Zip
Contact Phone:						Date o	of Birth:		
<b>EMAIL AGREEMENT</b> : By c and Professional Licensing to keep the email address	g, I agree to n	naintain an ac	curate email address	throug	the MY LICENSE	web pag	e. I understand	that failure to check m	y email account or
Email Address:						Select	One:	Send my Correspond Send my Correspond	•
SOCIAL SECURITY NUMBER States Social Security Nu- will not be publicly disclos	mber. It is co	nsidered conf	fidential information	and					
PART II Ov	vnershi	p Inform	nation						
Are You: (Check All that Appl	y)		Controlling Persor	ո [	Owner		If Owner,	% of Ownership:	
Are You Currently L in Another State?	icensed		es/es		No				
Please list all states	or jurisdic	tions in whi	ich you currently	are o	r have ever be	en certi	fied.		
St	State or Jurisdiction License or Certificate Number Expiration Date								

### **PART III**

## **Professional Fitness Questions**

The following questions must be answered. "Yes" answers may not automatically result in license denial.

For each "yes" response to any question, you must provide an <u>explanation</u> and <u>documentation</u>. Use the letter of explanation form (#08-4752) appended to this application; include full details, dates, locations, type of action, organizations or parties involved, and specific circumstances. A separate letter of explanation form must be provided for each "yes" answer documented below. Documentation includes copies of court orders, charging documents, board, or license actions, etc.

When in doubt about your response, disclose and provide the required explanation and documents. Applications submitted without the required attachments will be considered incomplete and will not be processed.

The contents of licensing files are generally considered public records. If you believe that the additional information you are attaching to explain a "yes" answer should be considered confidential, state that in the attachment. A request for confidentiality may or may not be granted.

When in doubt, disclose and explain.		
1. Have you been convicted of a crime or are you currently charged with committing a crime, or is any such action pending? For purposes of this question, "crime" includes a misdemeanor, felony, or a military offense, including (but not limited to) a conviction involving driving under the influence (DUI) or driving while intoxicated (DWI), driving without a license, reckless driving, or driving with a suspended or revoked license. "Convicted" includes having been found guilty by verdict of a judge or jury, having entered a plea of guilty, nolo contendere or no contest, or having been given probation, a suspended imposition of sentence, or a fine.	Yes	No
2. Have you had a professional license denied, revoked, suspended, or otherwise restricted, conditioned, or limited or have you surrendered a professional license, been fined, placed on probation, reprimanded, disciplined, or entered into a settlement with a licensing authority in connection with a professional license you have held in any jurisdiction including Alaska and including that of any military authorities or is any such action pending?	Yes	No
<b>3.</b> Are you the subject of an unresolved complaint or disciplinary action before an authority regulating real estate appraisers or a professional real estate appraisers' association?	Yes	No
<b>4.</b> you committed, or had a lawsuit filed against you, while acting as a real estate appraiser, an act or omission involving dishonesty, fraud, or misrepresentation?	Yes	No
"Yes" Answers  "Yes" to any of the above questions, you must subsexplanation form (#08-4752) appended to this application explaining circumstance(s) of the incident(s) and supporting documentation.		

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# **Notary Signature Page**

### **PART IV**

# **Notarized Signature**

I hereby certify that I am the person herein named and subscribing to this application and that I have read the complete application, and I know the full content thereof. I declare that all of the information contained herein, and evidence or other documents submitted herewith are true and correct.

I understand that any falsification or misrepresentation of any item or response in this application, or any attachment hereto, or falsification or misrepresentation of documents to support this application, is sufficient grounds for denying, revoking, or otherwise disciplining a license or permit to practice in the state of Alaska.

I further understand that it is a Class A misdemeanor under Alaska Statute 11.56.210 to falsify an application and commit the crime of unsworn falsification.

A person who makes a false statement on this application may be subject to civil and criminal penalties, including prosecution for perjury (AS 11.56.200 & AS 11.56.230).

Notary Stamp	Applicant Printed Name:			
	Applicant Signature:			
	Notary Public for State of:		ribed and Sworn to me on this Day:	
	Notary Signature:		My Commission Expires:	



# of ALASKA

Department of Commerce, Community, and Economic Development Division of Corporations, Business and Professional Licensing

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Email: BoardOfRealEstateAppraisers@Alaska.Gov Website: ProfessionalLicense.Alaska.Gov/BoardOfRealEstateAppraisers

#### **Authorization for Release of Records**

All persons who own 10% or more of the appraisal management company must complete this release as part of the application for the company.

I hereby authorize the Alaska Division of Corporations, Business, and Professional Licensing and its investigators to examine my appraisal employment, educational records, and records pertaining to litigation, judgments, suits and/or settlements, and any law enforcement records pertaining to me and discuss them with persons having possession of them. I also expressly permit and authorize the release of any and all such records pertaining to me to the Alaska Division of Corporations, Business, and Professional Licensing and its investigators.

I authorize the division to discuss my records with persons or organizations that are considered appropriate by the division in connection with an official investigation, and to provide copies of my records to those persons or organizations deemed appropriate by the division.

I request that upon presentation of this release, or a Certified True Copy thereof, that you provide copies of those records to the division and/or its investigators, and/or representatives of the Office of the Attorney General of the State of Alaska.

This authorization is given expressly in connection with the application (initial, renewal, reactivation) for the appraisal management company for which I am at least a 10% owner.

I hereby release you, your organization, the Alaska Department of Commerce, Community, and Economic Development, Division of Corporations, Business, and Professional Licensing and its investigators, and all others directly and/or indirectly involved in this matter from any liability or damage which may result from furnishing the information requested.

This authorization expires one (1) year from the date of my signature below.

Name:	First	Middle		Last
Full Address:	P.O. Box or Street	City	State	Zip
Phone:			Date of Birth:	
Email:				
Signature:			Date:	



# of ALASKA

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Email: BoardOfRealEstateAppraisers@Alaska.Gov Website: ProfessionalLicense.Alaska.Gov/BoardOfRealEstateAppraisers

# **Appraisal Management Company Surety Bond**

(Required by Real Estate Appraiser Statute AS 08.87.135)

Principal (Applicant) Name:			Title:	
DBA Name:				
Bond #:	Effective Date:	mm/dd/yyyy	Expiration Date:	mm/dd/yyyy

KNOW ALL MEN BY THESE PRESENTS that we, the above-named appraisal management company, as principal, and the agency named below, as surety, are held firmly bound to the State of Alaska, in the sum of FIFTY THOUSAND DOLLARS (\$50,000) lawful money of the United States, for the payment of which, well and truly to be made, we and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

THE CONDITIONS OF THE ABOVE OBLIGATIONS ARE SUCH THAT: Whereas, the above bound principal has applied to, or is about to obtain from, the State of Alaska for registration as an Appraisal Management Company pursuant to AS 08.87.135 and the acts amendatory thereof and supplemental thereto:

NOW THEREFORE, if the State of Alaska shall register the above bounden principal as an Appraisal Management Company and that principal shall faithfully and honestly act an Appraisal Management Company in accordance with law, and fully complies with the provisions of AS 08.87.135 of the State of Alaska and acts thereof and supplemental thereto, and if the principal shall fully indemnify and save harmless from loss the State of Alaska and any person who may have cause of action against the principal for any malfeasance or misfeasance in the conduct of a real estate appraisal management company, then this obligation to be voided, otherwise to remain in full force and virtue.

LIABILITY UNDER THIS BOND commences on the date listed above and shall be continuous until the registration license is revoked or otherwise terminated by the Department of Commerce, Community, and Economic Development, State of Alaska (the Department) or until written notice from the Surety is received by the Department provided the bond has been cancelled for lawful reasons. The bond shall apply to all liens and liabilities which arise during the effective period of the bond to which the bond is applicable under law, even if the judgment liens are foreclosed or valid liens settled after the effective period of the bond or liabilities are enforced after the effective period of the bond

IN WITNESS OF THE ABOVE, principal and surety have signed and sealed this bond on the date listed below.

Principal (Applicant) Signature:		Date:	
Surety Name:	Attorney Fact:	in-	
Surety Signature:		Date:	
Agency Name:			
Agency Address:			

THIS BOND IS NOT VALID UNTIL SIGNED BY BOTH PRINCIPAL AND SURETY. Surety's Power of Attorney **must be attached.** 

(Surety's Seal)



# THE STATE $^{of}$ ALASKA

Department of Commerce, Community, and Economic Development Division of Corporations, Business and Professional Licensing

#### **Professional Licensing**

PO Box 110806, Juneau AK 99811 Phone: (907) 465-2550 Email: License@Alaska.Gov Website: ProfessionalLicense.Alaska.Gov

# Letter of Explanation for a Professional Fitness "Yes" Answer

Use this form only to explain and document any professional fitness "Yes" answers. A "Yes" answer is not necessarily disqualifying but concealing one may be.

Each "Yes" answer requires a separate explanation and associated documentation. Submit all relevant documentation with this form, even if you have previously provided it.

- **Explanations** include full details, dates, locations, type of action, organizations or parties involved, and specific circumstances. If the space provided is insufficient, make additional copies as needed.
- **Documentation** includes copies of court orders, charging documents, board or license actions, decisions against your professional certification, satisfaction of consent agreements (fines paid, community service completed, off probation, etc.), and fitness to practice letters (statement from your provider that you are safe to practice if you check "Yes" to any of the questions regarding mental or physical health, or drug or alcohol abuse or addiction).
- **Disciplinary actions** may include but not be limited to: suspension, surrender, revocation, probation, academic probation, reprimand, censure, restricted license, limited license, conditioned license, or letters of counseling, concern, advice, warning, caution, admonishment, or reprimand.

If you have multiple "Yes" answers or multiple incidents for any professional fitness question, you must use a separate copy of this form and provide a full explanation and documentation for each incident.

The contents of licensing files are public records. If you believe that the additional information you are attaching to explain a "Yes" answer should be considered confidential, state that in the attachment. A request for confidentiality may or may not be granted according to state law.

according to state ia	w.				
	Vrite the professi	onal fitness question number	you are answering	"Yes" to in the box.	
Location of Inciden	t:			Date of Incident:	
Explanation of Inci	dent:				
When in doul and exp Make copies a	olain.				
Did you attach al	l applicable docu	ments associated with this inc	cident?		
☐ Court order	s $\square$	Consent agreements	Disciplinary a	ctions $\square$ C	harging documents
☐ Court recor	ds 🔲	Fitness to practice	All other docu	umentation related to	this incident
		r this "Yes" answer, or "Yes" a for each incident.	nswers to other Pro	ofessional Fitness que	stions and have attached
Full Name:				PL Code:	
Signature:				Date:	

You must submit one form for each "Yes" answer. Make copies of this form as necessary.

FOR DIVISION USE ONLY

State of Alaska
Department of Commerce, Community, and Economic Development
Division of Corporations, Business and Professional Licensing
PO Box 110806, Juneau, AK 99811
Phone: (907) 465-2550

Credit Card Payment Form  All major credit cards are accepted. For security purposes, do not email credit card information.	
All major credit cards are accepted Include this credit card payment for	For security purposes, <u>do not email</u> credit card information. m with your application.
Name of Applicant or Licensee:	
Program Type:	License Number (if applicable):
I wish to make payment by credit ca	ard for the following (check all that apply):  AMOUNT
Application Fee:	
License or Renewal Fee: _	
Other (name change, wall co	ertificate, fine, duplicate license, exam, etc.):
1	
	TOTAL:
Name (as shown on credit card): _	
Mailing Address:	
Phone Number:	Email <i>(optional)</i> :
Signature of Credit Card Holder:	
08-4438 Rev 12/26/18	Credit Card Payment Form (all major cards accepted
	yment cannot be processed unless all fields are completed!
1. Account Number:	All four fields <b>MUST</b> be completed!
<ol> <li>Expiration Date:</li> <li>Billing ZIP Code:</li> <li>Security Code:</li> </ol>	This section will be destroyed after the payment is processed.