

DATE: January 30, 2001
TO: Board of Registration for Architects, Engineers, and Land Surveyors
THROUGH: Gary Veres, Chief Investigator
FROM: John R. Clark, Investigator
SUBJECT: Investigative Report for the February 15 - 16, 2001, meeting.

OPEN CASES

- 0100-00-2 Allegation that an architect unduly delayed a project. (Opened 11/14/00)
- 0101-98-3 Single allegation of an engineer engaging in architectural work without being licensed as an architect. (Opened 5/25/98)
- 0101-98-5 Allegation that an engineer submitted plan review documents that contained architectural work. (Opened 10/14/98)
- 0101-99-6 Allegation that an unlicensed person worked as an architect. (Opened 11/04/99)
- 0102-98-3 Allegation that an engineer sealed and signed plans that are incomplete and not in compliance with established codes. (Opened 5/25/98)
- 0102-99-7 Allegation that an engineer sealed and signed a report that was not accurate. (Opened 10/05/99)
- 0102-00-2 Allegation of a corporation failing to insure property supervision occurred. (Opened 02/04/00)
- 0102-00-3 Allegation that an engineer exceeded the scope of licensure. (Opened 05/10/00)
- 0102-00-5 Allegation that an engineer sealed and signed plans outside scope of licensure. (Opened 08/16/00)
- 0102-00-6 Allegation that an engineer sealed and signed plans outside scope of licensure. (Opened 08/16/00)
- 0102-00-7 Allegation that an engineer was not timely in performance of work. (Opened 08/22/00)

- 0102-00-8 Allegation that engineer signed/sealed plans that contained false information. (Opened 10/10/00)
- 0102-00-9 Allegation that engineer signed/sealed plans that contained false information. (Opened 10/25/00)
- 0102-00-10 Allegation that engineer is not following his obligation to protect public health/safety in plan design. (Opened 10/25/00)
- 0102-00-12 Allegation that an engineer unduly delayed a project. (Opened 11/14/00)
- 0103-99-6 Allegation that an architect performed work as a mechanical engineer. (Opened 11/26/99)
- 0103-00-3 Allegation that a formerly licensed engineer sealed plans while his license was expired. (Opened 02/08/00)
- 0103-01-1 Allegation that an unlicensed person engaged in engineering. (Opened 01/11/01)
- 0104-96-2 Allegation that a registered land surveyor did not accurately depict the lot sizes in a 1986 plat map. (Opened 04/29/96)
- 0104-96-4 Allegation that a registered land surveyor was incompetent. (Opened 10/30/96)
- 0104-97-2 Allegation that a registered land surveyor did not set monuments as described on the plat filed with the recorders office. (Opened 05/19/97)
- 0104-99-3 Allegation that a land surveyor did very poor work on a project. (Opened 11/05/99)
- 0104-00-1 Allegation that a land surveyor did work that went beyond the scope of the survey that was commissioned. (Opened 04\04\00)
- 0104-01-1 Allegation that a land surveyor did not complete a survey in a timely manner. (Opened 01/11/01)
- 0107-00-2 Allegation that a corporation advertised to perform engineering services without being registered as an AELS corporation. (Opened 05/02/00)
- 0150-00-1 An architect reported action taken in other jurisdictions on a renewal. (Opened 01/06/00)
- 0152-99-1 Applicant was denied and requested a hearing. (Opened 04/09/99)

The first four numbers of the case number indicate the profession; the professional codes are as follows:

| | | | |
|------|--------------------------|------|------------------------------------|
| 0100 | Architect | 0106 | Corporate Authorization |
| 0101 | Unlicensed Architect | 0107 | Unlicensed Corporate Authorization |
| 0102 | Engineer | 0150 | Architect Applicant |
| 0103 | Unlicensed Engineer | 0152 | Engineering Applicant |
| 0104 | Land Surveyor | 0154 | Land Surveyor Applicant |
| 0105 | Unlicensed Land Surveyor | | |

DRAFT ACCUSATIONS PENDING ATTORNEY GENERAL REVIEW

- 0103-95-10 Single allegation of a previously licensed engineer sealing and signing plans subsequent to the engineer's license lapsing on 12/31/93. (Referred 04/20/99)
- 0103-98-3 Single allegation of a previously licensed engineer practicing civil engineering subsequent to his license lapsing on 12/31/97. (Referred 04/20/99)
- 0104-96-1 Allegation that a registered land surveyor's work was below the minimum professional standards for a land surveyor. (Referred 08/21/98)
- 0104-98-2 Allegation that a registered land surveyor did not provide services in a timely manner. (Referred 08/21/98)

CASES CLOSED:

- 0100-98-1 Single allegation that a licensed architect was not timely and produced an unusable plan. Investigation established that the architect had provided the services contracted for and did not delay the project. Closure reviewed with AELS Board Member Daphne Brown. (Closed 01/04/01)
- 0101-98-4 Single allegation that an engineer submitted plan review documents that contained architectural work. Investigation revealed the engineer has prepared documents containing a small amount of architectural work. An advisory letter was sent to the engineer. Closure was reviewed with AELS Board Member Robert Miller. (Closed 10/27/00)
- 0101-99-5 Allegation that an unlicensed person has worked as an architect. Investigation revealed the individual referred to himself as an Alaska Architect in his resume, which was distributed as part of a bid for architectural work. The individual was issued an advisory letter and agreed to change the wording in his resume to reflect that he worked under the supervision of a licensed architect. (Closed 11/15/00)
- 0102-96-5 Allegation that an engineer was not truthful in a report. Investigation revealed the engineer apparently made a mistake in calculations concerning production of a water well. An advisory letter was sent to the engineer. Closure coordinated with AELS Board Member Robert Miller. (Closed 01/16/01)
- 0102-00-1 Allegation that an engineer was untimely and negligent in the preparation of plans. Investigation failed to substantiate the allegation. Closure discussed with AELS Board Member Robert Miller. (Closed 01/16/01)
- 0102-00-4 Allegation that an engineer lied during testimony. Investigation failed to substantiate the allegation. (Closed 11/07/00)
- 0103-00-1 Allegation that an engineer continued to practice engineering while his license was lapsed. The engineer renewed the license. Closed due to compliance. (Closed 10/26/00)

The first four numbers of the case number indicate the profession; the professional codes are as follows:

| | | | |
|------|--------------------------|------|------------------------------------|
| 0100 | Architect | 0106 | Corporate Authorization |
| 0101 | Unlicensed Architect | 0107 | Unlicensed Corporate Authorization |
| 0102 | Engineer | 0150 | Architect Applicant |
| 0103 | Unlicensed Engineer | 0152 | Engineering Applicant |
| 0104 | Land Surveyor | 0154 | Land Surveyor Applicant |
| 0105 | Unlicensed Land Surveyor | | |

- 0104-00-2 Allegation that a land surveyor had not complied with a Board Order. Investigation revealed the land surveyor was in compliance. (Closed 01/02/01)
- 0105-00-1 Allegation that an unlicensed land surveyor is advertising as being registered in Alaska. Investigation failed to reveal any evidence that the land surveyor advertised to work as a land surveyor in Alaska. (Closed 10/26/00)

MEMORANDUM OF AGREEMENT

- 0102-00-11 Allegation that Robert D. Schilling, an engineer, committed a violation of professional ethics by failing to comply with a Board Order. Investigation substantiated that Mr. Schilling had not complied with the Board Order and he was seeking renewal of his civil engineer’s license. Mr. Schilling entered into a Memorandum of Agreement (MOA) with the Division of Occupational Licensing. The MOA calls for Mr. Schilling to pay a fine of \$1,500 (\$1,000 of which was suspended providing he pays the remaining \$500), he is required to attend an ethics course and he was reprimanded by the Board. The Board approved this MOA during the December 28, 2000, teleconference. (Closed 01/02/01)

The first four numbers of the case number indicate the profession; the professional codes are as follows:

| | | | |
|------|--------------------------|------|------------------------------------|
| 0100 | Architect | 0106 | Corporate Authorization |
| 0101 | Unlicensed Architect | 0107 | Unlicensed Corporate Authorization |
| 0102 | Engineer | 0150 | Architect Applicant |
| 0103 | Unlicensed Engineer | 0152 | Engineering Applicant |
| 0104 | Land Surveyor | 0154 | Land Surveyor Applicant |
| 0105 | Unlicensed Land Surveyor | | |